

JC976 U.S. PTO
02/16/01Please type a plus sign (+) inside this box → 02-20-01
PTO/SB/05 (08-00)Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No	4969 USA/ISM/COPPER/SB
First Inventor or Application Identifier	Mok
Title	INTEGRATED SEMICONDUCTOR SUBSTRATE BEVEL CLEANING APPARATUS AND METHOD
Express Mail Label No	ELCC1K05427615

APPLICATION ELEMENTS
See MPEP chapter 600 concerning design patent application contents

1 Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2 Applicant claims small entity status.
See 37 CFR 1.27.

3 Specification [Total Pages 22]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]

5. Oath or Declaration [Total Pages 4]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 17 completed)
 DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Other: Priority Claim

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment
or in an Application Data Sheet under 37 CFR 1.76

Continuation Divisional Continuation-in-part (CIP)
Prior application information Examiner _____ of prior application No. _____ /
Group, Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below <i>(Insert Customer No. or Attach bar code label here)</i>			
Name	Patent Counsel Applied Materials, Inc				
Address	3050 Bowers Avenue P. O. Box 450A				
City	Santa Clara	State	CA	Zip Code	95052
Country	U.S.A.	Telephone		Fax	
Name (Print/Type)	Robert W. Mulcahy		Registration No. (Attorney, Agent)	25-436	
Signature			Date	2-16-01	

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 746

Complete if Known	
Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Mok
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	4969 US/ISM/COPPER/SB

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to</p> <p>Deposit Account Number <input type="text" value="50-1074"/></p> <p>Deposit Account Name <input type="text" value="Applied Materials, Inc"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2 520</td><td>147</td><td>2 520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1 840*</td><td>113</td><td>1 840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>690</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1 350</td><td>218</td><td>635</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1 890</td><td>218</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>218</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>156</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1 510</td><td>138</td><td>1 510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td></tr> <tr><td>141</td><td>1 240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td></tr> <tr><td>142</td><td>1 240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td></tr> <tr><td>144</td><td>610</td><td>244</td><td>300</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td></td><td></td><td></td><td></td><td>Petitions related to provisional applications</td></tr> <tr><td></td><td></td><td></td><td></td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td></td><td></td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td></td><td></td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td></td><td></td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for Continued Examination (RCE)</td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for expedited examination of a design application</td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee specify</td></tr> <tr> <td colspan="4">*For number previously paid if greater. For Reissues, see above</td> <td colspan="4"> <p>*Reduced by Basic Filing Fee Paid <input type="text" value="(\$1.36)"/></p> <p>SUBTOTAL (3) <input type="text" value="(\$1.00)"/></p> </td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	139	130	139	130	Non-English specification	147	2 520	147	2 520	For filing a request for reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1 840*	113	1 840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	690	217	445	Extension for reply within third month	118	1 350	218	635	Extension for reply within fourth month	128	1 890	218	945	Extension for reply within fifth month	119	310	218	155	Notice of Appeal	120	310	220	156	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1 510	138	1 510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive – unavoidable	141	1 240	241	620	Petition to revive – unintentional	142	1 240	242	620	Utility issue fee (or reissue)	143	440	243	220	Design issue fee	144	610	244	300	Plant issue fee	122	130	122	130	Petitions to the Commissioner					Petitions related to provisional applications					Submission of Information Disclosure Stmt					Recording each patent assignment per property (times number of properties)					Filing a submission after final rejection (37 CFR § 1.129(a))					For each additional invention to be examined (37 CFR § 1.129(b))					Request for Continued Examination (RCE)					Request for expedited examination of a design application					Other fee specify	*For number previously paid if greater. 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SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Robert W. Mulcahy	Registration No.	25 436
Signature		Attorney/Agent	
		Telephone	
		Date	

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